

TORSION AND THE DIFFERENTIAL FAMILY INDEX THEOREM

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Dedicated to my father Kar-Ming Ho

ABSTRACT. We prove the differential family index theorem by the flat family index theorem and the differential Grothendieck-Riemann-Roch theorem.

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1. INTRODUCTION

Given a proper submersion $\pi : X \rightarrow B$ between manifolds with closed spin^c fibers of even relative dimension, the differential family index theorem [9, Theorem 7.35] (dFIT for short)

$$\text{ind}_{\text{FL}}^{\text{a}} = \text{ind}_{\text{FL}}^{\text{t}} : \widehat{K}_{\text{FL}}(X) \rightarrow \widehat{K}_{\text{FL}}(B) \quad (1)$$

equates the Freed–Lott differential analytic index $\text{ind}_{\text{FL}}^{\text{a}}$ [9, Definition 7.27] and the Freed–Lott differential topological index $\text{ind}_{\text{FL}}^{\text{t}}$ [9, Definition 5.34] as homomorphisms on the Freed–Lott differential K -groups. (1) is a refined index theorem as it implies the Atiyah–Singer family index theorem [1]

$$\text{ind}^{\text{a}} = \text{ind}^{\text{t}} : K(X) \rightarrow K(B). \quad (2)$$

Apply the Freed–Lott differential Chern character [9, §8.13] to (1)

$$\widehat{\text{ch}}_{\text{FL}} : \widehat{K}_{\text{FL}}(B) \rightarrow \widehat{H}^{\text{even}}(B; \mathbb{R}/\mathbb{Q}), \quad (3)$$

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where $\widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q})$ is the ring of Cheeger–Simons differential characters [8] with coefficients in \mathbb{Q} of even degree, we obtain the differential Grothendieck–Riemann–Roch theorem [9, Corollary 8.26] (dGRR for short), i.e., the following diagram commutes.

$$\begin{array}{ccc} \widehat{K}_{\text{FL}}(X) & \xrightarrow{\widehat{\text{ch}}_{\text{FL}}} & \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q}) \\ \text{ind}_{\text{FL}}^{\text{a}} \downarrow & & \downarrow \widehat{\int_{X/B}} \widehat{\text{Todd}}(T^V X, \widehat{\nabla}^{T^V X})_*(\cdot) \\ \widehat{K}_{\text{FL}}(B) & \xrightarrow{\widehat{\text{ch}}_{\text{FL}}} & \widehat{H}^{\text{even}}(B; \mathbb{R}/\mathbb{Q}) \end{array} \quad (4)$$

(4) simultaneously implies the Grothendieck–Riemann–Roch theorem, i.e., the following diagram commutes,

$$\begin{array}{ccc} K(X) & \xrightarrow{\text{ch}} & H^{\text{even}}(X; \mathbb{Q}) \\ \text{ind}^{\text{a}} \downarrow & & \downarrow \int_{X/B} \text{Todd}(T(X/B)) \cup (\cdot) \\ K(B) & \xrightarrow{\text{ch}} & H^{\text{even}}(B; \mathbb{Q}) \end{array} \quad (5)$$

and the local family index theorem [3]

$$\text{ch}(\nabla^{\text{ind}(\text{D}^E)}) = \int_{X/B} \text{Todd}(\widehat{\nabla}^{T^V X}) \wedge \text{ch}(\nabla^E) - d\tilde{\eta}, \quad (6)$$

where $\tilde{\eta}$ is the Bismut–Cheeger eta form [4].

Bunke–Schick’s differential K -group \widehat{K}_{BS} [6] is another equivalent model of differential K -theory, in the sense that \widehat{K}_{BS} is isomorphic to \widehat{K}_{FL} by a unique natural isomorphism [7, Corollary 4.4]. Bunke–Schick also define a differential analytic index $\text{ind}_{\text{BS}}^{\text{a}}$ and a differential Chern character $\widehat{\text{ch}}_{\text{BS}}$, and prove the dGRR in their setting [6, Theorem 6.19]. Since $\text{ind}_{\text{BS}}^{\text{a}}$ is essentially equivalent to $\text{ind}_{\text{FL}}^{\text{a}}$ [6, 5.3.5] and the differential Chern character is unique [6, Proposition 6.3], the dGRRs in these settings are essentially equivalent. For a proof of the dGRR in the setting of \widehat{K}_{FL} which does not make use of the dFIT, see [10].

In [13] J. Lott constructs a geometric model of \mathbb{R}/\mathbb{Z} K -theory $K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$, which is closely related to Karoubi’s multiplicative K -theory [12], and proves a family index theorem [13, Corollary 3]

$$\text{ind}_{\text{L}}^{\text{a}} = \text{ind}_{\text{L}}^{\text{t}} : K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z}) \rightarrow K_{\text{L}}^{-1}(B; \mathbb{R}/\mathbb{Z}), \quad (7)$$

called the \mathbb{R}/\mathbb{Z} family index theorem. Apply the \mathbb{R}/\mathbb{Z} Chern character

$$\text{ch}_{\mathbb{R}/\mathbb{Q}} : K_{\text{L}}^{-1}(B; \mathbb{R}/\mathbb{Z}) \rightarrow H^{\text{odd}}(B; \mathbb{R}/\mathbb{Q})$$

to (7) we obtain the \mathbb{R}/\mathbb{Z} Grothendieck–Riemann–Roch theorem (\mathbb{R}/\mathbb{Z} GRR for short) [13, Corollary 4], i.e., the following diagram commutes.

$$\begin{array}{ccc} K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) & \xrightarrow{\text{ch}_{\mathbb{R}/\mathbb{Q}}} & H^{\text{odd}}(B; \mathbb{R}/\mathbb{Q}) \\ \text{ind}_L^a \downarrow & & \downarrow \int_{X/B} \text{Todd}(X/B) \cup (\cdot) \\ K_L^{-1}(B; \mathbb{R}/\mathbb{Z}) & \xrightarrow{\text{ch}_{\mathbb{R}/\mathbb{Q}}} & H^{\text{odd}}(B; \mathbb{R}/\mathbb{Q}) \end{array} \quad (8)$$

\mathbb{R}/\mathbb{Z} K -theory is now known as the flat part of differential K -theory, i.e., there exists a canonical inclusion $i : K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) \hookrightarrow \widehat{K}_{\text{FL}}(X)$ such that elements in $K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$ has zero “curvature” when regarded in $\widehat{K}_{\text{FL}}(X)$. Henceforth we replace the term “ \mathbb{R}/\mathbb{Z} ” by “flat”. Moreover, the flat indexes ind_L^a and ind_L^t can be considered as special cases of the differential indexes ind_{FL}^a and ind_{FL}^t [9, Proposition 7.37, Proposition 8.10]. Thus (7) can be regarded as a special case of (1) and similarly (8) can be regarded as a special case of (4).

In this paper we show that the flat FIT (7) and the condensed proof of dGRR [10, Theorem 1] imply the dFIT (1). We prove the dFIT by considering the cases where an element in $\widehat{K}_{\text{FL}}(X)$ is torsion or torsion-free separately. If $\mathcal{E} \in \widehat{K}_{\text{FL}}(X)$ is torsion-free then both $\text{ind}_{\text{FL}}^t(\mathcal{E})$ and $\text{ind}_{\text{FL}}^a(\mathcal{E})$ are torsion-free by the (condensed proof of the) dGRR and [9, Proposition 8.19]. Thus proving the dFIT in the torsion-free case is equivalent to proving $\widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^a(\mathcal{E})) = \widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^t(\mathcal{E}))$ as the Freed–Lott differential Chern character $\widehat{\text{ch}}_{\text{FL}} : \widehat{K}_{\text{FL}}(\cdot) \rightarrow \widehat{H}^{\text{even}}(\cdot; \mathbb{R}/\mathbb{Q})$ is a rational isomorphism. If $\mathcal{E} - [n] \in \widehat{K}_{\text{FL}}(X)$ is torsion, we prove that it is induced by an element, denoted by $\mathcal{E}' - [n]'$, in $K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$. By [9, Proposition 7.39] it follows that $\text{ind}_{\text{FL}}^a(\mathcal{E} - [n]) = i(\text{ind}_L^a(\mathcal{E}' - [n]'))$. On the other hand, we prove that $\text{ind}_{\text{FL}}^t(\mathcal{E} - [n]) = i(\text{ind}_L^t(\mathcal{E}' - [n]'))$ (Proposition 2). Thus in the torsion case the dFIT reduces to the flat FIT. Note that Proposition 2 is proved in [9, Proposition 8.10], but that is a consequence of the flat FIT and the dFIT. The proof of Proposition 2 does not make use of the flat FIT and the dFIT.

The paper is organized as follows. Section 2 reviews Freed–Lott differential K -theory, Lott flat K -theory and the pairing between flat K -theory and odd K -homology. The main results are proved in Section 3.

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2. BACKGROUND MATERIALS

2.1. Freed–Lott differential K -theory. Let X be a compact smooth manifold. The Freed–Lott differential K -group $\widehat{K}_{\text{FL}}(X)$ is the abelian group

generated by quadruples $\mathcal{E} = (E, h, \nabla, \phi)$, where $(E, h, \nabla) \rightarrow X$ is a complex vector bundle with a hermitian metric h and a unitary connection ∇ , and $\phi \in \frac{\Omega^{\text{odd}}(X)}{\text{Im}(d)}$. The only relation is $\mathcal{E}_1 = \mathcal{E}_2$ if and only if there exists a generator $(F, h^F, \nabla^F, \phi^F)$ of $\widehat{K}_{\text{FL}}(X)$ such that $E_1 \oplus F \cong E_2 \oplus F$ and $\phi_2 - \phi_1 = \text{CS}(\nabla^{E_1} \oplus \nabla^F, \nabla^{E_2} \oplus \nabla^F)$, where $\text{CS}(\nabla^1, \nabla^0) \in \frac{\Omega^{\text{odd}}(X)}{\text{Im}(d)}$ is the Chern-Simons form of two connections ∇^1, ∇^0 on a complex vector bundle $E \rightarrow X$ such that

$$\text{ch}(\nabla^1) - \text{ch}(\nabla^0) = d \text{CS}(\nabla^1, \nabla^0).$$

Note that every element $\mathcal{E} - \mathcal{F} \in \widehat{K}_{\text{FL}}(X)$ can be written in the form

$$\mathcal{E}' - [n]. \quad (9)$$

Here $\mathcal{E}' = (E \oplus G, h^E \oplus h^G, \nabla^E \oplus \nabla^G, \phi^E + \phi^G)$, where $(G, h^G, \nabla^G, \phi^G)$ a generator of $\widehat{K}_{\text{FL}}(X)$ such that

$$(F \oplus G, h^F \oplus h^G, \nabla^F \oplus \nabla^G, \phi^F + \phi^G) = (\mathbb{C}^n, h, d, 0) =: [n].$$

The existence of the connection ∇^G such that $\text{CS}(\nabla^F \oplus \nabla^G, d) = 0$, where d is the trivial connection on the trivial bundle $X \times \mathbb{C}^n \rightarrow X$, follows from [14, Theorem 1.15]. Here $\phi^G := -\phi^F$. Henceforth we assume an element of $\widehat{K}_{\text{FL}}(X)$ is of the form $\mathcal{E} - [n]$.

In the following hexagon, the diagonal sequences are exact, and every triangle and square commutes [9]:

$$\begin{array}{ccccc}
 & & 0 & & \\
 & \searrow & & \nearrow & \\
 & K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) & \xrightarrow{-B} & K(X; \mathbb{Z}) & \\
 \alpha \nearrow & & \searrow i & \nearrow \delta & \searrow \text{ch}_{\mathbb{R}} \\
 H^{\text{odd}}(X; \mathbb{R}) & & \widehat{K}_{\text{FL}}(X) & & H^{\text{even}}(X; \mathbb{R}) \\
 \beta \searrow & & \nearrow j & \searrow \text{ch}_{\widehat{K}_{\text{FL}}} & \nearrow \text{dr} \\
 \frac{\Omega^{\text{odd}}(X)}{\Omega_{\text{BU}}^{\text{odd}}(X)} & \xrightarrow{d} & \Omega_{\text{BU}}^{\text{even}}(X) & & \\
 0 \nearrow & & & \searrow & 0
 \end{array} \quad (10)$$

where $\text{ch}_{\mathbb{R}} := r \circ \text{ch} : K(X) \rightarrow H^{\text{even}}(X; \mathbb{R})$,

$$\Omega_{\text{BU}}^{\bullet}(X) := \{\omega \in \Omega_{d=0}^{\bullet}(X) \mid [\omega] \in \text{Im}(\text{ch}^{\bullet} : K^{-(\bullet \bmod 2)} \rightarrow H^{\bullet}(X; \mathbb{Q}))\},$$

where $\bullet \in \{\text{even}, \text{odd}\}$. The maps are defined as follows:

$$\delta(\mathcal{E}) = [E], \quad \text{ch}_{\widehat{K}_{\text{FL}}}(\mathcal{E}) = \text{ch}(\nabla) + d\phi, \quad j(\phi) = (0, 0, d, \phi),$$

i is the natural inclusion map, dr is the de Rham map, and the sequence of maps $(\alpha, \beta, \text{ch}_{\mathbb{R}})$ can be regarded as the Bockstein sequence in K -theory as we may identify $H^{\bullet}(X; \mathbb{R})$ with $K^{\bullet}(X; \mathbb{R}) := K^{\bullet}(X) \otimes_{\mathbb{Z}} \mathbb{R}$ via the Chern character.

The Freed–Lott differential Chern character

$$\widehat{\text{ch}}_{\text{FL}} : \widehat{K}_{\text{FL}}(X) \rightarrow \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q})$$

is defined by

$$\widehat{\text{ch}}_{\text{FL}}(\mathcal{E}) = \widehat{\text{ch}}(E, h, \nabla) + i_2(\phi),$$

where $\widehat{\text{ch}}(E, h, \nabla)$ is the unique natural differential Chern character defined in [8, §4] such that its form part is $\text{ch}(\nabla)$ and its cohomology part is $\text{ch}(E)$.

The map $i_2 : \frac{\Omega^{\text{odd}}(X)}{\Omega_{\mathbb{Q}}^{\text{odd}}(X)} \rightarrow \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q})$ is the injective map defined in [8,

Theorem 1.1]. Henceforth we suppress the metric h and just write $\widehat{\text{ch}}(E, \nabla)$.

Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Given the geometric data in [9, §3.1], the Freed–Lott differential analytic index $\text{ind}_{\text{FL}}^{\text{a}} : \widehat{K}_{\text{FL}}(X) \rightarrow \widehat{K}_{\text{FL}}(B)$ [9, Definition 3.11] is defined by

$$\text{ind}_{\text{FL}}^{\text{a}}(\mathcal{E}) = \left(\ker(\mathbf{D}^E), h^{\ker(\mathbf{D}^E)}, \nabla^{\ker(\mathbf{D}^E)}, \int_{X/B} \text{Todd}(\widehat{\nabla}^{T^V X}) \wedge \phi + \widetilde{\eta}(\mathcal{E}) \right), \quad (11)$$

where \mathbf{D}^E is the family of Dirac operators on the twisted spinor bundle $\mathcal{S}^V(X) \otimes E \rightarrow X$. Here $\widehat{\nabla}^{T^V X} := \nabla^{T^V X} \otimes \nabla^{L(X)}$, where $\nabla^{T^V X}$ is the unique lift of the projection of the Levi-Civita connection on $TX \rightarrow X$ onto the vertical bundle $T^V X \rightarrow X$ to the spinor bundle, and $\nabla^{L(X)}$ is a unitary connection on the characteristic line bundle $L(X) \rightarrow X$ associated to the spin^c structure. $\ker(\mathbf{D}^E) \rightarrow B$ is assumed to form a superbundle, and $\widetilde{\eta}(\mathcal{E})$ is the Bismut–Cheeger eta form [4], satisfying

$$d\widetilde{\eta}(\mathcal{E}) = \int_{X/B} \text{Todd}(\widehat{\nabla}^{T^V X}) \wedge \text{ch}(\nabla^E) - \text{ch}(\nabla^{\ker(\mathbf{D}^E)}).$$

For the construction of $\ker(\mathbf{D}^E) \rightarrow B$, $h^{\ker(\mathbf{D}^E)}$ and $\nabla^{\ker(\mathbf{D}^E)}$, see [2].

There are similar constructions of $\text{ind}_{\text{FL}}^{\text{a}}(\mathcal{E})$ [9, Definition 7.27] and $\widetilde{\eta}(\mathcal{E})$ [9, (7.25)] when the family of kernels $\ker(\mathbf{D}^E)$ do not form a superbundle over B .

The differential topological index $\text{ind}_{\text{FL}}^{\text{t}} : \widehat{K}_{\text{FL}}(X) \rightarrow \widehat{K}_{\text{FL}}(B)$ is defined to be the composition of a modified embedding pushforward and a projection pushforward. Roughly speaking, the modified embedding pushforward is the geometric construction of the embedding pushforward given in [5] (see also [9, §4.1]) with a correction term (see [9, (5.6)]).

We briefly recall that construction of the embedding pushforward, and refer to [9, §4, 5] for the details. Let $\mathcal{E} \in \widehat{K}_{\text{FL}}(X)$ and $\iota : X \hookrightarrow Y$ a proper embedding of manifolds and assume the codimension of X in Y is even. As in

[9, §5] we assume for $b \in B$, the map $\iota_b : X_b \rightarrow Y_b$ is an isometric embedding. The embedding pushforward $\hat{\iota}_* : \hat{K}_{\text{FL}}(X) \rightarrow {}_\delta \hat{K}_{\text{FL}}(Y)$ [9, Definition 4.14] is defined to be

$$\hat{\iota}_*(\mathcal{E}) = (F, h^F, \nabla^F, \frac{\phi^E}{\text{Todd}(\widehat{\nabla}^\nu)} \wedge \delta_X - \gamma).$$

Here ${}_\delta \hat{K}_{\text{FL}}(Y)$ is the currential K -theory [9, §2.28], $\nu \rightarrow X$ is the normal bundle of X in Y and is assumed to be (differential) spin^c , $\widehat{\nabla}^\nu$ is the induced connection on the spinor bundle $\mathcal{S}(\nu) \rightarrow X$, δ_X is the current of integration of X and γ is the Bismut-Zhang current [9, Definition 1.3]. (F, h^F, ∇^F) is a Hermitian bundle with a Hermitian metric h^F and a unitary connection chosen in [9, Lemma 4.4].

Now let $X \rightarrow B$ and $Y \rightarrow B$ be fiber bundles with X compact. Since the horizontal distributions of these fiber bundles need not be compatible, an odd form $\tilde{C} \in \frac{\Omega^{\text{odd}}(X)}{\text{Im}(d)}$ is defined to correct this noncompatibility. \tilde{C} satisfies

$$d\tilde{C} = \iota^* \text{Todd}(\widehat{\nabla}^{T^V Y}) - \text{Todd}(\widehat{\nabla}^{T^V X}) \wedge \text{Todd}(\widehat{\nabla}^\nu).$$

The modified embedding pushforward $\hat{\iota}_*^{\text{mod}} : \hat{K}_{\text{FL}}(X) \rightarrow {}_{\text{WF}} \hat{K}_{\text{FL}}(Y)$ [9, Definition 5.8] is defined to be

$$\hat{\iota}_*^{\text{mod}}(\mathcal{E}) := \hat{\iota}_*(\mathcal{E}) - j \left(\frac{\tilde{C}}{\iota^* \text{Todd}(\widehat{\nabla}^{T^V Y}) \wedge \text{Todd}(\widehat{\nabla}^\nu)} \wedge \text{ch}_{\hat{K}_{\text{FL}}}(\mathcal{E}) \wedge \delta_X \right), \quad (12)$$

where $\mathcal{E} = (E, h^E, \nabla^E, \phi^E)$. See [9, §3.1] for the definition of ${}_{\text{WF}} \hat{K}_{\text{FL}}(X)$. In particular, if $T^H Y|_X \cong T^H X$, by [9, Lemma 5.7] we have $\iota^* \widehat{\nabla}^{T^V Y} = \widehat{\nabla}^{T^V X} \oplus \widehat{\nabla}^\nu$ and $\tilde{C} = 0$. Thus in this case $\hat{\iota}_*^{\text{mod}} = \hat{\iota}_*$.

The differential topological index $\text{ind}_{\text{FL}}^t : \hat{K}_{\text{FL}}(X) \rightarrow \hat{K}_{\text{FL}}(B)$ [9, Definition 5.34] is defined by taking $Y = \mathbb{S}^N \times B$ for some even N and composed with the submersion pushforward $\hat{\pi}_*^{\text{prod}}$ defined in [9, Lemma 5.13], i.e., $\text{ind}_{\text{FL}}^t := \hat{\pi}_*^{\text{prod}} \circ \hat{\iota}_*^{\text{mod}}$.

The main result of [9] is the dFIT.

Theorem 1. [9, Theorem 7.32] *Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Then*

$$\text{ind}_{\text{FL}}^a = \text{ind}_{\text{FL}}^t : \hat{K}_{\text{FL}}(X) \rightarrow \hat{K}_{\text{FL}}(B).$$

The following proposition gives the differential Chern character of the differential topological index.

Proposition 1. [9, Proposition 8.16] *Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Then for $\mathcal{E} \in \hat{K}_{\text{FL}}(X)$,*

$$\widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^t(\mathcal{E})) = \widehat{\int_{X/B} \text{Todd}(T^V X, \widehat{\nabla}^{T^V X}) * \widehat{\text{ch}}_{\text{FL}}(\mathcal{E})}.$$

Here $\widehat{\int_{X/B}}$ and $*$ is the pushforward [11, §3.4] and the multiplication [8] of differential characters, and $\widehat{\text{Todd}}(T^V X, \widehat{\nabla}^{T^V X})$ is the unique natural differential character defined similarly as $\widehat{\text{ch}}$.

The dGRR follows from $\widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^{\text{a}}(\mathcal{E})) = \widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^{\text{t}}(\mathcal{E}))$ and Proposition 1.

Theorem 2. [9, Corollary 8.23] *Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Then the following diagram commutes.*

$$\begin{array}{ccc} \widehat{K}_{\text{FL}}(X) & \xrightarrow{\widehat{\text{ch}}_{\text{FL}}} & \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q}) \\ \text{ind}_{\text{FL}}^{\text{a}} \downarrow & & \downarrow \widehat{\int_{X/B}} \widehat{\text{Todd}}(T^V X, \widehat{\nabla}^{T^V X}) * (\cdot) \\ \widehat{K}_{\text{FL}}(B) & \xrightarrow{\widehat{\text{ch}}_{\text{FL}}} & \widehat{H}^{\text{even}}(B; \mathbb{R}/\mathbb{Q}) \end{array}$$

i.e., for $\mathcal{E} \in \widehat{K}_{\text{FL}}(X)$, we have

$$\widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^{\text{a}}(\mathcal{E})) = \widehat{\int_{X/B}} \widehat{\text{Todd}}(T^V X, \widehat{\nabla}^{T^V X}) * \widehat{\text{ch}}_{\text{FL}}(\mathcal{E}).$$

For a proof of dGRR which does not make use of Theorem 1, see [10, Theorem 1].

2.2. The flat K -theory $K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$. The flat K -group $K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$ is given by generators and relations. A generator $\mathcal{E} = (E, h, \nabla, \phi)$ consists of a Hermitian bundle $E \rightarrow X$ with a Hermitian metric h , a unitary connection ∇ and $\phi \in \frac{\Omega^{\text{odd}}(X)}{\text{Im}(d)}$ such that $\text{ch}(\nabla) - \text{rank}(E) = -d\phi$. One of the relations is the same as the one of $\widehat{K}_{\text{FL}}(X)$, and the other is that elements in $K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$ have virtual rank zero.

By a \mathbb{Z}_2 -graded element $\mathcal{E} = (E, h^E, \nabla^E, \phi) \in K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$ we mean $E = E^+ \oplus E^-$ is a \mathbb{Z}_2 -graded complex vector bundle over X , $h^E = h^+ \oplus h^-$ and $\nabla^E = \nabla^+ \oplus \nabla^-$, where h^{\pm} is a Hermitian metric and ∇^{\pm} is a unitary connection on $E^{\pm} \rightarrow X$, and $\text{ch}(\nabla^E) := \text{ch}(\nabla^+) - \text{ch}(\nabla^-) = -d\phi$.

One can associate an element $\mathcal{E} - \mathcal{F} \in K^{-1}(X; \mathbb{R}/\mathbb{Z})$ a \mathbb{Z}_2 -graded element and vice versa [13, p.8].

In [13, Definition 9] the flat Chern character

$$\text{ch}_{\mathbb{R}/\mathbb{Q}} : K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z}) \rightarrow H^{\text{odd}}(X; \mathbb{R}/\mathbb{Q})$$

is defined. Note that $\text{ch}_{\mathbb{R}/\mathbb{Q}}$ is a rational isomorphism.

The flat analytic index $\text{ind}_{\text{L}}^{\text{a}} : K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z}) \rightarrow K_{\text{L}}^{-1}(B; \mathbb{R}/\mathbb{Z})$ of a given proper submersion $\pi : X \rightarrow B$ with closed spin^c fibers of even relative dimension is defined exactly as in (11), i.e. for a \mathbb{Z}_2 -graded element $\mathcal{E} \in$

$$K_L^{-1}(X; \mathbb{R}/\mathbb{Z}),$$

$$\text{ind}_L^a(\mathcal{E}) := \left(\ker(D^E)^\pm, h^{\ker(D^E)^\pm}, \nabla^{\ker(D^E)^\pm}, \int_{X/B} \text{Todd}(\widehat{\nabla}^{TX}) \wedge \phi + \widetilde{\eta}(\mathcal{E}) \right),$$

where $\ker(D^E)^\pm = \ker(D^{E^+})^\pm \oplus \ker(D^{E^-})^\mp$, and $\widetilde{\eta}(\mathcal{E}) = \widetilde{\eta}(\mathcal{E}^+) - \widetilde{\eta}(\mathcal{E}^-)$.

The flat analytic index and the flat topological index of elements of the form $\mathcal{E} - \mathcal{F}$ are defined to be the ones of the associated \mathbb{Z}_2 -graded element.

Theorem 3. [13, Corollary 3] *Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Then*

$$\text{ind}_L^a = \text{ind}_L^t : K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) \rightarrow K_L^{-1}(B; \mathbb{R}/\mathbb{Z}).$$

For a \mathbb{Z}_2 -graded element $\mathcal{E} \in K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$, we have

$$\text{ch}_{\mathbb{R}/\mathbb{Q}}(\text{ind}_L^t(\mathcal{E})) = \int_{X/B} \text{Todd}(X/B) \cup \text{ch}_{\mathbb{R}/\mathbb{Q}}(\mathcal{E}). \quad (13)$$

Note that $\widehat{\text{ch}}_{\text{FL}} : \widehat{K}_{\text{FL}}(X) \otimes \mathbb{Q} \rightarrow \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q})$ is a ring isomorphism, which can be proved by applying the Five lemma to the following diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) \otimes \mathbb{Q} & \xrightarrow{i} & \widehat{K}_{\text{FL}}(X) \otimes \mathbb{Q} & \xrightarrow{\delta} & K(X) \otimes \mathbb{Q} \longrightarrow 0 \\ & & \downarrow \widehat{\text{ch}}_{\mathbb{R}/\mathbb{Q}} \cong & & \downarrow \widehat{\text{ch}}_{\text{FL}} & & \downarrow \text{ch} \cong \\ 0 & \longrightarrow & H^{\text{odd}}(X; \mathbb{R}/\mathbb{Q}) & \xrightarrow{i_1} & \widehat{H}^{\text{even}}(X; \mathbb{R}/\mathbb{Q}) & \xrightarrow{\delta_2} & H^{\text{even}}(X; \mathbb{Q}) \longrightarrow 0 \end{array}$$

where the upper exact sequence is given in (10) and the bottom exact sequence is the Bockstein sequence.

2.3. Pairing between flat K -theory and K -homology. Let X be a closed odd-dimensional spin^c manifold. Let $L(X) \rightarrow X$ be the characteristic line bundle of the spin^c structure. Let $\mathcal{E} = (E, h^E, \nabla^E, \phi) \in {}_\delta \widehat{K}_{\text{FL}}(X)$, and $D^{X,E}$ be the Dirac-type operator on the spinor bundle $\mathcal{S}(X) \rightarrow X$. Recall that the reduced eta-invariant $\bar{\eta}(D^{X,E}) \in \mathbb{R}/\mathbb{Z}$ is defined to be

$$\bar{\eta}(D^{X,E}) := \frac{1}{2}(\eta(D^{X,E}) + \dim(\ker(D^{X,E}))) \mod \mathbb{Z}.$$

In [9, Definition 2.33] a modified reduced eta-invariant $\bar{\eta}(X, \mathcal{E}) \in \mathbb{R}/\mathbb{Z}$ is defined to be

$$\bar{\eta}(X, \mathcal{E}) := \bar{\eta}(D^{X,E}) + \int_X \text{Todd}(\widehat{\nabla}^{TX}) \wedge \phi \mod \mathbb{Z}.$$

Here $\widehat{\nabla}^{TX} = \nabla^{TX} \otimes \nabla^{L(X)}$, where ∇^{TX} is the unique lift of the Levi-Civita connection on $TX \rightarrow X$ to the spinor bundle and $\nabla^{L(X)}$ is a unitary connection on the characteristic line bundle $L(X) \rightarrow X$ associated to the spin^c structure.

It is proved in [9, Proposition 2.25] that $\bar{\eta} : {}_\delta \widehat{K}_{\text{FL}}(X) \rightarrow \mathbb{R}/\mathbb{Z}$ is a well defined homomorphism and

$$\bar{\eta}(X, i(\mathcal{E})) = \langle [X], \mathcal{E} \rangle, \quad (14)$$

where \mathcal{E} is a generator of $K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$ and $[X] \in K_{-1}(X)$ is the fundamental K -homology class. Here $\langle [X], \mathcal{E} \rangle$ is the pairing

$$K_L^{-1}(X; \mathbb{R}/\mathbb{Z}) \times K_{-1}(X) \rightarrow \mathbb{R}/\mathbb{Z} \quad (15)$$

[13, Proposition 3]. More precisely, by the universal coefficient theorem of [15, (3.1)] we have the following short exact sequence

$$0 \longrightarrow \text{Ext}(K_{-2}(X), \mathbb{R}/\mathbb{Z}) \longrightarrow K^{-1}(X; \mathbb{R}/\mathbb{Z}) \longrightarrow \text{Hom}(K_{-1}(X), \mathbb{R}/\mathbb{Z}) \longrightarrow 0$$

Since \mathbb{R}/\mathbb{Z} is divisible, $\text{Ext}(K_{-2}(X), \mathbb{R}/\mathbb{Z}) = 0$ and therefore $K^{-1}(X; \mathbb{R}/\mathbb{Z}) \cong \text{Hom}(K_{-1}(X), \mathbb{R}/\mathbb{Z})$. (14) gives the pairing (15) in analytic terms. $\bar{\eta}(X, \mathcal{E})$ plays an important role in the Freed-Lott's proof of the dFIT.

3. MAIN RESULTS

In this section we prove the main results in this paper.

Let $\mathcal{E} - [n] \in \widehat{K}_{\text{FL}}(X)$ be a torsion element. Then there exists $k \in \mathbb{N}$ such that $k(\mathcal{E} - [n]) = 0 \in \widehat{K}_{\text{FL}}(X)$, which implies the existence of a generator $\mathcal{G} = (G, h^G, \nabla^G, \phi^G)$ of $\widehat{K}_{\text{FL}}(X)$ such that

$$\begin{aligned} kE \oplus G &\cong \mathbb{C}^{kn} \oplus G, \\ -k\phi^E &= \text{CS}(k\nabla^E \oplus \nabla^G, k\nabla^{\text{flat}} \oplus \nabla^G). \end{aligned} \quad (16)$$

These equalities imply that

$$\text{rank}(E) = n \text{ and } k \text{ch}(\nabla^E) - k \text{rank}(E) = -kd\phi^E. \quad (17)$$

By the definition of $K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$ and (17), \mathcal{E} and $[n]$ are generators of $K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$ and $\mathcal{E} - [n] \in K_L^{-1}(X; \mathbb{R}/\mathbb{Z})$. Denote by \mathcal{E}' and $[n]'$ when \mathcal{E} and $[n]$ are considered as generators of $K^{-1}(X; \mathbb{R}/\mathbb{Z})$. Note that

$$i(\mathcal{E}' - [n]') = \mathcal{E} - [n], \quad (18)$$

where i is given in (10). By [9, Proposition 7.37] we have

$$\text{ind}_{\text{FL}}^{\text{a}}(\mathcal{E} - [n]) = \text{ind}_{\text{FL}}^{\text{a}}(i(\mathcal{E}' - [n]')) = i(\text{ind}_{\text{L}}^{\text{a}}(\mathcal{E}' - [n]')), \quad (19)$$

The proof of the following Proposition is inspired by the calculations involved in the proofs of the flat FIT and the dFIT.

Proposition 2. *Let $\mathcal{E} - [n] \in \widehat{K}(X)$ be a torsion element, and $\mathcal{E}' - [n]' \in K^{-1}(X; \mathbb{R}/\mathbb{Z})$ as above. Then $\text{ind}_{\text{FL}}^{\text{t}}(\mathcal{E} - [n]) = i(\text{ind}_{\text{L}}^{\text{t}}(\mathcal{E}' - [n]'))$.*

Proof. Since $\mathcal{E} - [n] \in \widehat{K}_{\text{FL}}(X)$ is torsion, let $k \in \mathbb{N}$ and $\mathcal{G} = (G, h^G, \nabla^G, \phi^G)$ a generator of $\widehat{K}_{\text{FL}}(X)$ as in (16) and $i(\mathcal{E}' - [n]') = \mathcal{E} - [n]$ as in (18). Consider the difference

$$h := \text{ind}_{\text{FL}}^{\text{t}}(\mathcal{E} - [n]) - i(\text{ind}_{\text{L}}^{\text{t}}(\mathcal{E}' - [n]')).$$

We prove that $h = 0$. By [9, Lemma 5.36] and the fact that $\text{ch}_{\widehat{K}_{\text{FL}}} \circ i = 0$, we have

$$\begin{aligned} & \text{ch}_{\widehat{K}_{\text{FL}}}(\text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) - \text{ch}_{\widehat{K}_{\text{FL}}}(i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]'))) \\ &= \text{ch}_{\widehat{K}_{\text{FL}}}(\text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) \\ &= k \int_{X/B} \text{Todd}(\widehat{\nabla}^{T^V X}) \wedge (\text{ch}(\nabla^E) - \text{rank}(E) + d\phi^E) \\ &= 0. \end{aligned}$$

By the exactness of one of the diagonal sequences in (10), there exists an element $a \in K^{-1}(B; \mathbb{R}/\mathbb{Z})$ such that $i(a) = h$. To prove $a = 0 \in K_{\text{L}}^{-1}(B; \mathbb{R}/\mathbb{Z})$, it suffices to show that for all $\alpha \in K_{-1}(B; \mathbb{Z})$,

$$\langle \alpha, a \rangle = 0 \in \mathbb{R}/\mathbb{Z}. \quad (20)$$

As proceeded in the proof of [9, Theorem 6.2], we may, without loss of generality, let $\alpha = f_*[M]$ for some smooth map $f : M \rightarrow B$, where M is a closed odd-dimensional spin^c manifold, and $[M]$ is the fundamental K -homology in $K_{-1}(M)$. Since $\langle \alpha, a \rangle = \langle [M], f^*a \rangle$, we pull everything back to M and we may assume B is an arbitrary closed odd-dimensional spin^c manifold. Thus proving (20) is equivalent to proving

$$\langle [B], a \rangle = 0 \in \mathbb{R}/\mathbb{Z}. \quad (21)$$

Since

$$\langle [B], a \rangle = \bar{\eta}(B, \text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) - \bar{\eta}(B, i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]'))) \mod \mathbb{Z},$$

proving (21) is equivalent to proving

$$\bar{\eta}(B, \text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) = \bar{\eta}(B, i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]'))) \mod \mathbb{Z}. \quad (22)$$

In the following, we write $a \equiv b$ as $a = b \mod \mathbb{Z}$. By [9, (6.7)], we have

$$\begin{aligned} \bar{\eta}(B, \text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) &\equiv \bar{\eta}(\text{D}^{X, E-n}) + \int_X \frac{\iota^* \text{Todd}(\widehat{\nabla}^{T^V(\mathbb{S}^N \times B)})}{\text{Todd}(\widehat{\nabla}^\nu)} \wedge \phi^E \\ &\quad - \int_X \frac{\pi^* \text{Todd}(\widehat{\nabla}^{TB})}{\text{Todd}(\widehat{\nabla}^\nu)} \wedge \tilde{C} \wedge \text{ch}_{\widehat{K}_{\text{FL}}}(\mathcal{E} - [n]) \quad (23) \\ &\equiv \bar{\eta}(\text{D}^{X, E-n}) + \int_X \frac{\iota^* \text{Todd}(\widehat{\nabla}^{T^V(\mathbb{S}^N \times B)})}{\text{Todd}(\widehat{\nabla}^\nu)} \wedge \phi^E \end{aligned}$$

as $\text{ch}_{\widehat{K}_{\text{FL}}}(\mathcal{E} - [n]) = 0$ by (17). On the other hand, by [13, (49)], we have

$$\begin{aligned} \bar{\eta}(B, i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]'))) &\equiv \langle [B], \text{ind}_{\text{L}}^t(\mathcal{E}' - [n]') \rangle \\ &= \langle \pi^*[B], \mathcal{E} - [n] \rangle \\ &= \langle [X], \mathcal{E} - [n] \rangle \\ &= \bar{\eta}(X, \mathcal{E} - [n]) \\ &\equiv \bar{\eta}(\text{D}^{X, E-n}) + \int_X \text{Todd}(\widehat{\nabla}^{TB}) \wedge \phi^E. \end{aligned} \quad (24)$$

From (23) and (24) we have

$$\begin{aligned}
& \bar{\eta}(B, \text{ind}_{\text{FL}}^t(\mathcal{E} - [n])) - \bar{\eta}(B, i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]'))) \\
& \equiv \int_X \left(\frac{\iota^* \text{Todd}(\widehat{\nabla}^{TV}(\mathbb{S}^N \times B))}{\text{Todd}(\widehat{\nabla}^\nu)} - \text{Todd}(\widehat{\nabla}^{TB}) \right) \wedge \phi^E \\
& \equiv \int_X \left(\frac{\iota^* \text{Todd}(\widehat{\nabla}^{TV}(\mathbb{S}^N \times B)) - \text{Todd}(\widehat{\nabla}^{TB}) \wedge \text{Todd}(\widehat{\nabla}^\nu)}{\text{Todd}(\widehat{\nabla}^\nu)} \right) \wedge \phi^E.
\end{aligned} \tag{25}$$

Since $\text{ch}_{\widehat{K}_{\text{FL}}}(\mathcal{E} - [n]) = 0$, it follows from (12) that

$$\widehat{\iota}_*^{\text{mod}}(\mathcal{E} - [n]) = \widehat{\iota}_*(\mathcal{E} - [n]).$$

Since the purpose of the modified embedding pushforward $\widehat{\iota}_*^{\text{mod}}$ is to correct the noncompatibility of the horizontal distributions $T^H(\mathbb{S}^N \times B)$ and $T^H X$, and in our case the modified embedding pushforward equals the embedding pushforward, we may assume horizontal distributions $T^H(\mathbb{S}^N \times B)$ and $T^H X$ are compatible, and therefore

$$\iota^* \text{Todd}(\widehat{\nabla}^{TV}(\mathbb{S}^N \times B)) = \text{Todd}(\widehat{\nabla}^{TB}) \wedge \text{Todd}(\widehat{\nabla}^\nu),$$

which implies that (25) is zero, and therefore $h = 0$. \square

One can compare Proposition 2 with [9, Proposition 8.10].

We now prove the dFIT by the flat FIT and the dGRR [10, Theorem 1].

Theorem 4. *Let $\pi : X \rightarrow B$ be a proper submersion with closed spin^c fibers of even relative dimension. Then*

$$\text{ind}_{\text{FL}}^a = \text{ind}_{\text{FL}}^t : \widehat{K}_{\text{FL}}(X) \rightarrow \widehat{K}_{\text{FL}}(B).$$

Proof. Suppose $\mathcal{E} - [n] \in \widehat{K}_{\text{FL}}(X)$ is a torsion element. Denote by $\mathcal{E}' - [n]' \in K_{\text{L}}^{-1}(X; \mathbb{R}/\mathbb{Z})$ such that $i(\mathcal{E}' - [n]') = \mathcal{E} - [n]$. Then

$$\begin{aligned}
\text{ind}_{\text{FL}}^a(\mathcal{E} - [n]) &= \text{ind}_{\text{FL}}^a(i(\mathcal{E}' - [n]')) \\
&= i(\text{ind}_{\text{L}}^a(\mathcal{E}' - [n]')) && \text{by (19)} \\
&= i(\text{ind}_{\text{L}}^t(\mathcal{E}' - [n]')) && \text{by the flat FIT (Theorem 3)} \\
&= \text{ind}_{\text{FL}}^t(\mathcal{E} - [n]) && \text{by Proposition 2.}
\end{aligned}$$

Now suppose $\mathcal{E} \in \widehat{K}_{\text{FL}}(X)$ is torsion-free. The dGRR [10, Theorem 1] and [9, Proposition 8.16] (see Proposition 1) imply that $\text{ind}_{\text{FL}}^a(\mathcal{E})$ and $\text{ind}_{\text{FL}}^t(\mathcal{E})$ are torsion-free. Since $\widehat{\text{ch}}_{\text{FL}} : \widehat{K}_{\text{FL}}(B) \otimes \mathbb{Q} \rightarrow \widehat{H}^{\text{even}}(B; \mathbb{R}/\mathbb{Q})$ is a ring isomorphism, the equality

$$\widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^a(\mathcal{E})) = \widehat{\text{ch}}_{\text{FL}}(\text{ind}_{\text{FL}}^t(\mathcal{E})) \tag{26}$$

is equivalent to $\text{ind}_{\text{FL}}^a(\mathcal{E}) = \text{ind}_{\text{FL}}^t(\mathcal{E})$. Note that (26) is a consequence of the dGRR [10, Theorem 1] and [9, Proposition 8.16]. Thus the dFIT is proved in general. \square

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